

IV. Investment Election

This investment election applies to your rollover amount ONLY. If you are trying to enroll in the Plan, or would like to change your investment election for your other Plan contributions, please call Vanguard Participant Services at 800-523-1188.

Note: The Union Pacific Common Stock Fund (0000AT) has a maximum allocation percentage of 20%. Any amount greater than 20% will be directed to the appropriate date-specific Vanguard Target Retirement Trust Select Suite.

Vanguard Target Retirement Trusts If you choose this investment approach, you may want to consider investing 100% of your contributions in one Vanguard Target Retirement Trust.

Fund Code	Fund Name	Allocation	Fund Code	Fund Name	Allocation
001686	Vanguard Target Retirement Income Trust Select	<input type="text"/>	001680	Vanguard Target Retirement 2040 Trust Select	<input type="text"/>
001675	Vanguard Target Retirement 2015 Trust Select	<input type="text"/>	001681	Vanguard Target Retirement 2045 Trust Select	<input type="text"/>
001676	Vanguard Target Retirement 2020 Trust Select	<input type="text"/>	001682	Vanguard Target Retirement 2050 Trust Select	<input type="text"/>
001677	Vanguard Target Retirement 2025 Trust Select	<input type="text"/>	001683	Vanguard Target Retirement 2055 Trust Select	<input type="text"/>
001678	Vanguard Target Retirement 2030 Trust Select	<input type="text"/>	001685	Vanguard Target Retirement 2060 Trust Select	<input type="text"/>
001679	Vanguard Target Retirement 2035 Trust Select	<input type="text"/>	001795	Vanguard Target Retirement 2065 Trust Select	<input type="text"/>

Core Funds Allocations must be in increments of 5%.

Fund Code	Fund Name	Allocation	Fund Code	Fund Name	Allocation
007528	Vanguard Institutional Total Bond Market Index Trust	<input type="text"/>	000581	Vanguard International Growth Fund	<input type="text"/>
0000AT	Union Pacific Common Stock Fund	<input type="text"/>	002491	Dodge & Cox International Stock Fund	<input type="text"/>
007527	Vanguard Institutional 500 Index Trust	<input type="text"/>	0000DM	Union Pacific Fixed Income Fund	<input type="text"/>
007596	Vanguard Institutional Extended Market Index Trust	<input type="text"/>	0000M4	Dodge & Cox Stock Fund	<input type="text"/>
008461	EARNEST Partners Smid Cap Core Fund	<input type="text"/>	002101	Dodge & Cox Income Fund	<input type="text"/>
007575	Vanguard Institutional Total International Stock Market Index Trust	<input type="text"/>	007840	T.Rowe Price Blue Chip Growth Trust	<input type="text"/>
0000WB	Union Pacific TRASOP/PAYSOP Stock Fund	<input type="text"/>			

Your allocations must total 100%

VI. Authorization

I hereby request that the Plan accept my Rollover Contribution in the amount shown in Section II, Rollover Amount. I understand that a separate Rollover Contribution Account(s) will be established for me, and that I will be 100% vested in this account(s). I understand that the rollover may include pre-tax contributions, after-tax contributions, Roth contributions, and/or employer contributions and the earnings thereon. I understand that after-tax contributions, and the earnings thereon, can be rolled over only from another employer plan (not an IRA) and that a rollover of after-tax money may be accomplished only through a direct rollover. Also, the cost basis of the Roth amount, if any, rolled over from my prior employer's plan may be accomplished only through a direct rollover. Assets from a Roth IRA cannot be rolled into the Plan. I further understand that I may not otherwise participate in the Plan unless or until I meet the Plan's eligibility requirements and I enroll in the Plan.

I have read and acknowledge the certifications included with this form. **I understand that if any of the certifications are incorrect, my distribution is not eligible for rollover and I may be subject to adverse tax consequences as a result of the improper rollover.** I agree to promptly notify my employer if I later discover that any certifications are incorrect. Furthermore, I understand that I may be required to provide additional information to verify the eligibility of these funds for rollover.

 Signature of Participant Date

Please return this form, your rollover check and supporting documentation to:

U.S. Mail	Overnight
Vanguard	Vanguard
ATTN: DC Plan	ATTN: DC Plan
P.O. Box 982902	5951 Lockett Court Suite A2
El Paso, TX 79998-2902	El Paso, TX 79932

Certification

I hereby certify all of the following:

1. I was entitled to the distribution from the prior plan as an employee or as a former spouse Alternate Payee under a Qualified Domestic Relations Order (QDRO) and **not** as a beneficiary of a deceased employee.
2. The distribution was not one of a series of periodic payments that are made at least once a year that will last for my lifetime (or my life expectancy), my lifetime and my beneficiary's lifetime (or life expectancies) or a period of ten or more years.
3. If the distribution is **not** rolled over as part of a direct rollover from my prior employer's plan: (a) I received the distribution within 60 days of the intended rollover contribution to this plan; and (b) the portion, if any, of such distribution distributed from a designated Roth account under my prior employer's plan that I intend to rollover would be taxable to me if it were not rolled over.
4. If I am making a pre-tax rollover the entire amount of the pre-tax rollover contribution would be taxable to me if it were not rolled over.
5. The entire amount indicated as a Roth rollover in the "Rollover Amount" section is a distribution from a designated Roth account under an "applicable retirement plan" described in section 402A(e)(1) of the Code.
6. The distribution was **not** a hardship withdrawal from an employer's 401(k) plan.
7. The distribution does **not** represent a "required minimum payment". (Note: A *certain portion of your payment cannot be rolled over because it is a "required minimum payment" that must be paid to you beginning at the age of 70½ or at retirement, whichever is later. Special rules also apply if you own 5% or more of your employer.*)
8. The distribution was **not** a return of contributions in excess of legal limits.
9. The distribution was **not** a distribution of dividends paid on employer securities

If the rollover is from a conduit IRA, I hereby further certify all of the following:

10. I originally rolled over the distribution from the prior plan to the IRA within 60 days of my receipt of the distribution.
11. The entire amount of the pre-tax rollover contribution to the IRA would have been taxable to me if it were not rolled over.
12. No amount other than a rollover contribution from a plan type described in the "Prior Plan Type" section (other than a conduit IRA) was contributed to the IRA.
13. I received the distribution from the IRA within 60 days of the intended rollover contribution to the Plan.
14. The IRA from which the rollover contribution will be made is not a Roth IRA.

Note: It is important that all of the certifications you have made above are true and accurate. If any of the certifications are not accurate please do not attempt to rollover your distribution to the Plan.

Supporting Documentation

You **must** provide documentation described below to confirm the assets you wish to rollover were received from an eligible employer plan. If your rollover is from a conduit IRA, such documentation is necessary to confirm that the assets you wish to rollover from the conduit IRA were originally contributed to an eligible employer plan.

You **MUST** provide the following supporting documentation:

1. A copy of an **IRS Determination Letter*** **OR** a **Letter from the Plan Administrator**** (see below for additional details)
2. A copy of; any correspondence, account statement, check stub or other material regarding the rollover distribution.

*IRS Determination Letter

Please contact your prior employer for a copy of the IRS Determination Letter. Financial institutions or record-keepers (who issue the rollover check) may not be able to provide this document. An IRS Determination letter validates the qualified status of a retirement plan under IRC Section 401(a).

**Letter from Plan Administrator

Please contact your prior employer for a letter from the Plan Administrator. Financial institutions or record-keepers (who issue the rollover check) may not be able to provide this document. This **must** be on company letterhead and **must** certify one of the following statements:

- Is a qualified plan under section 401(a) of the Internal Revenue Code
- Is intended to satisfy the qualification requirements of Code section 401(a) and that the plan administrator is not aware of any operational violations of these requirements
- Is an annuity plan described in section 403(a) of the Code
- Is an annuity contract described in section 403(b) of the Code
- Is an eligible deferred compensation plan described in section 457 which is maintained by an eligible employer described in section 457(e)(1)(A)

Roth Rollover Documentation

If you are rolling over amounts from a designated Roth account in a direct rollover from your prior employer's plan, documentation from your prior record-keeper is required to confirm the Roth cost basis and Roth start date. If you are rolling over funds from your designated Roth account under a prior employer's plan within 60 days of receiving a distribution from such account, documentation from your prior record-keeper is required to confirm your Roth cost basis and the total amount of your distribution from your designated Roth account under your prior employer's plan. Your Roth rollover will not be completed without this documentation.

Other Information

Vanguard must receive your completed rollover contribution request form, supporting documentation, and rollover check at the same time. **If any of this information is missing or incomplete, your rollover will not be processed** and you will be notified by Vanguard.